

THIRD EDITION

Advanced Nursing Research

from THEORY *to* PRACTICE

Ruth Tappen, EdD, RN, FAAN

Christine E. Lynn Eminent Scholar and Professor
Florida Atlantic University
Boca Raton, Florida



JONES & BARTLETT
LEARNING



World Headquarters
Jones & Bartlett Learning
25 Mall Road
Burlington, MA 01803
978-443-5000
info@jblearning.com
www.jblearning.com

Jones & Bartlett Learning books and products are available through most bookstores and online booksellers. To contact Jones & Bartlett Learning directly, call 800-832-0034, fax 978-443-8000, or visit our website, www.jblearning.com.

Substantial discounts on bulk quantities of Jones & Bartlett Learning publications are available to corporations, professional associations, and other qualified organizations. For details and specific discount information, contact the special sales department at Jones & Bartlett Learning via the above contact information or send an email to specialsales@jblearning.com.

Copyright © 2023 by Jones & Bartlett Learning, LLC, an Ascend Learning Company

All rights reserved. No part of the material protected by this copyright may be reproduced or utilized in any form, electronic or mechanical, including photocopying, recording, or by any information storage and retrieval system, without written permission from the copyright owner.

The content, statements, views, and opinions herein are the sole expression of the respective authors and not that of Jones & Bartlett Learning, LLC. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not constitute or imply its endorsement or recommendation by Jones & Bartlett Learning, LLC and such reference shall not be used for advertising or product endorsement purposes. All trademarks displayed are the trademarks of the parties noted herein. *Advanced Nursing Research: From Theory to Practice, Third Edition* is an independent publication and has not been authorized, sponsored, or otherwise approved by the owners of the trademarks or service marks referenced in this product.

There may be images in this book that feature models; these models do not necessarily endorse, represent, or participate in the activities represented in the images. Any screenshots in this product are for educational and instructive purposes only. Any individuals and scenarios featured in the case studies throughout this product may be real or fictitious but are used for instructional purposes only.

The authors, editor, and publisher have made every effort to provide accurate information. However, they are not responsible for errors, omissions, or for any outcomes related to the use of the contents of this book and take no responsibility for the use of the products and procedures described. Treatments and side effects described in this book may not be applicable to all people; likewise, some people may require a dose or experience a side effect that is not described herein. Drugs and medical devices are discussed that may have limited availability controlled by the Food and Drug Administration (FDA) for use only in a research study or clinical trial. Research, clinical practice, and government regulations often change the accepted standard in this field. When consideration is being given to use of any drug in the clinical setting, the healthcare providers or reader is responsible for determining FDA status of the drug, reading the package insert, and reviewing prescribing information for the most up-to-date recommendations on dose, precautions, and contraindications, and determining the appropriate usage for the product. This is especially important in the case of drugs that are new or seldom used.

Production Credits

Vice President, Product Management: Marisa R. Urbano
Vice President, Product Operations: Christine Emerton
Director, Product Management: Matthew Kane
Product Manager: Tina Chen
Director, Content Management: Donna Gridley
Manager, Content Strategy: Carolyn Pershous
Content Strategist: Melissa Duffy
Content Coordinator: Andrew LaBelle
Director, Project Management and Content Services:
Karen Scott
Project Manager: Kristen Rogers
Project Specialist: Angela Montoya
Senior Digital Project Specialist: Angela Dooley

Senior Marketing Manager: Jennifer Scherzay
Content Services Manager: Colleen Lamy
VP, Manufacturing and Inventory Control: Therese Connell
Product Fulfillment Manager: Wendy Kilborn
Composition: S4Carlisle Publishing Services
Project Management: S4Carlisle Publishing Services
Cover and Text Design: Scott Moden
Senior Media Development Editor: Troy Liston
Rights & Permissions Manager: John Rusk
Rights Specialist: Rebecca Damon
Cover Image (Title Page, Part Opener, Chapter Opener):
© MirageC/Moment/Getty Images
Printing and Binding: LSC Communications

Library of Congress Cataloging-in-Publication Data

Names: Tappen, Ruth M., author.
Title: Advanced nursing research: from theory to practice/Ruth Tappen.
Description: Third edition. | Burlington, Massachusetts: Jones & Bartlett Learning, [2023] | Includes bibliographical references and index.
Identifiers: LCCN 2021026297 | ISBN 9781284231755 (paperback)
Subjects: MESH: Nursing Research--methods | Research Design | BISAC: MEDICAL/Nursing/Research & Theory
Classification: LCC RT81.5 | NLM WY 20.5 | DDC 610.73072--dc23
LC record available at <https://lccn.loc.gov/2021026297>

6048

Printed in the United States of America
25 24 23 22 21 10 9 8 7 6 5 4 3 2 1

DEDICATION

With deep gratitude for all the comments, ideas, suggestions, and contributions from friends, colleagues, students, reviewers, and JBL editors. And I also want to express my appreciation for fellow researchers, whose efforts have done so much to improve our lives. Your work has greatly enriched the content of this book. Many, many thanks.

Special thanks to Jeaneen Muller for her assistance in preparing this manuscript.

CONTENTS

	Preface	xi
	Introduction	xii
Part I	Planning Phase	1
Chapter 1	Identifying a Research Topic	3
	Research Defined	3
	“Think Research”	5
	Transformative Research Topics	7
	Topics with Future Potential	7
	Generating an Idea List	10
	Making A Final Selection	11
	Conclusion	12
	References	12
Chapter 2	Reviewing the Literature	15
	Searching the Literature	15
	Read Critically and Take Notes	18
	Writing the Review	19
	Conclusion	22
	References	22
Chapter 3	Theory	25
	Important Definitions	25
	Developmental Stages of Theories	27
	Using Research to Test Theory	27
	Using Theory to Inform Research	29
	The Concept Tree	30

	Concept Tree Examples	34
	Issues Related to Theory and Research	39
	Conclusion	42
	References	42
Part II	Design Phase	47
Chapter 4	Quantitative, Qualitative, or Mixed Models Research?	49
	Name Calling	50
	Defining Quantitative and Qualitative Research.	50
	Quantitative and Qualitative Methods	53
	Philosophical Underpinnings	56
	The Quantitative Paradigm	57
	The Qualitative Paradigm.	60
	Characteristics of Qualitative Research	62
	The Pragmatic Paradigm.	64
	Conclusion	64
	References	65
Chapter 5	Experimental and Quasi-Experimental Design	69
	Experimental Design.	69
	Quasi-Experimental Designs.	80
	Conclusion	85
	References	85
Chapter 6	Descriptive, Longitudinal, and Epidemiological Designs	87
	Simple Descriptive Designs.	88
	More Complex Descriptive Designs	91
	Longitudinal Studies	95
	Epidemiological Designs	99
	Conclusion	104
	References	104
Chapter 7	Larger, More Complex Studies: Secondary Analysis, Big Data, and Meta-Analyses.	107
	Secondary Analysis	107
	Big Data.	109
	Meta-Analysis	113
	Conclusion	121
	References	121

Chapter 8	Sampling	125
	Probability Sampling.	126
	Purposive Sampling.	137
	Complex Sampling Strategies.	142
	Conclusion	143
	References.	143
Chapter 9	Reliability.	145
	What Is Reliability?.	145
	When Is Reliability a Concern?	145
	Measurement.	146
	Consistency.	149
	Stability.	151
	Homogeneity.	153
	Reliability of Physical Measures	155
	Improving Reliability	157
	Conclusion	159
	References.	159
Chapter 10	Validity.	161
	Content Validity.	162
	Construct Validity.	169
	Criterion Validity	171
	Sensitivity and Specificity.	172
	Conclusion	175
	References.	175
Chapter 11	Rigor in Qualitative Research	177
	Example: Coming of Age in Samoa	178
	Credibility.	180
	Transferability	185
	Dependability	185
	Confirmability.	186
	Additional Criteria for Trustworthiness	187
	Conclusion	192
	References.	192
Chapter 12	Mixed Designs and Mixed Methods	195
	Mixed Methods.	195
	Classifying Mixed Methods Designs	196

	Data Analysis and Reporting of Results	199
	The Question of Quality in Mixed Methods Research	201
	Issues Related to Mixing Methods	203
	Conclusion	207
	References	207
Part III Implementation Phase		209
Chapter 13	Research Ethics	211
	A Troubled Past	211
	Principles of Ethical Conduct in Research	213
	Application of the Principles	215
	Basic Elements of Informed Consent	220
	Issues Related to Ethical Research Conduct	224
	Ethical Conduct Related to Animal Model Research	230
	Conclusion	231
	References	232
Chapter 14	Participant Recruitment	235
	Recruitment	235
	Retention	247
	Conclusion	250
	References	251
Chapter 15	Data Collection: Testing and Observation	253
	Initial Preparation for Data Collection	254
	Tests of Function	258
	Physical Assessment Measures	261
	Physiological Measures	262
	Observation	266
	Conclusion	279
	References	279
Chapter 16	Data Collection: Interviewing Individuals and Groups	283
	Structured and Semi-structured Interviews	284
	Unstructured Interviews	288
	Focus Groups	291
	Conclusion	297
	References	297

Chapter 17	Internet, Secondary Analysis, and Historical Research	301
	Internet-Based Research	301
	Secondary Data Analysis	308
	Historical Research	312
	Conclusion	319
	References	319
Chapter 18	Intervention	323
	Interventions	324
	Preparing to Implement the Intervention	330
	Working with Facility Staff	337
	Implementation	338
	Conclusion	341
	References	341
Part IV Analysis and Interpretation Phase		345
Chapter 19	Quantitative Data Management	347
	Managing the Data	348
	Electronic Databases	353
	Designing for the Database	353
	Database Creation	355
	Conclusion	359
	References	360
Chapter 20	Basic Quantitative Data Analysis	361
	Data Cleaning	362
	Missing Data	363
	Visual Representations	368
	Basic Descriptive Statistics	372
	Bivariate Association	377
	Additional Measures of Association	379
	Conclusion	381
	References	381
Chapter 21	Inferential Analysis	383
	Analysis of Variance	383
	Important Terminology	384
	t -Tests	385

	ANOVA	390
	Regression Analysis.	399
	Conclusion	419
	References.	420
Chapter 22	Analysis of Qualitative Data	423
	The General Inductive Approach in Qualitative Research.	423
	Processing The Data	424
	Why Quantify?	426
	Structured and Semistructured Analysis	427
	Content Analysis	432
	Analyzing the Text	432
	Unstructured Analysis	435
	Ethnographic Analysis	443
	Grounded Theory	447
	Phenomenological Analysis	452
	Conclusion	458
	References.	458
Part V	Reporting	461
Chapter 23	Presenting Your Research Findings	463
	Selecting a Conference.	464
	Poster Presentations	464
	Podium Presentations	466
	Conclusion	470
	References.	470
Chapter 24	Publishing Your Research Results	473
	Reporting to Funders.	473
	Reporting to the Public.	473
	Publishing in Professional Journals.	474
	Research Letters and Brief Reports.	477
	Special Considerations for Qualitative Research Manuscripts	477
	Preparing a Mixed-Methods Manuscript	478
	After Publication	479
	Conclusion	480
	References.	480
	For Further Reading	481

Chapter 25	Preparing Research Proposals	483
	Thesis and Dissertation Proposals.	484
	Guidance for Writing a Thesis or Dissertation Proposal	485
	Proposals for Funding	486
	Information Commonly Requested	488
	Suggestions and Guidelines for Preparing Applications for Funding.	492
	Qualitative Proposals: Special Considerations.	496
	Conclusion	497
	References.	497
Chapter 26	From Research to Practice.	499
	Research Study Versus Quality Improvement Project	500
	Ethical Considerations	503
	Why Evidence-Based Practice?.	506
	Criteria for Evidence-Based Practice Recommendations.	507
	Content of the Reviews	509
	Analyses Performed in Systematic Reviews	510
	From Evidence Review to Practice.	512
	Implementation of a New Practice.	513
	Research Evidence and Healthcare Policy	515
	Conclusion	515
	References.	516
Chapter 27	Developing a Program of Research	521
	The Value of Building a Program of Research	522
	Launching a Program of Research	522
	Starting a Program of Research: Examples	526
	A Fictional Example	526
	References.	528
Appendix A	The Belmont Report	529
Appendix B	The Nuremberg Code	543
Appendix C	Common Rule	545
Index	589

PREFACE

This third edition of *Advanced Nursing Research: From Theory to Practice* reflects the continuing increase in the complexity and sophistication of nursing research. New and expanded topics include the use of big data, mixed methods, increased emphasis on protected health information and data security, the Revised Common Rule, translational research, implementation science, predatory publishing, research priorities from the National Institutes for Health (NIH), and many other emerging topics. The addition of a new chapter on mixed-methods research reflects the growing interest in hearing the voice of the participant (often a patient), even in our most positivistic quantitative research.

The emphasis on learning how to conduct nursing research has not changed, nor has the perspective that engaging in nursing research is an exciting, challenging, and ultimately very rewarding endeavor. Mixed methods continue to emerge as a valuable approach, combining the best of both qualitative and quantitative methods.

Finally, a big thank-you to all of the readers of the first and second editions who have made suggestions for improving this book. I am especially grateful to the students who have said, “I keep your book by my side,” as they worked on their research studies, although still a bit puzzled but appreciative of the student who told me, “I sleep with your book under my pillow.” (Did that help?)

I hope that you will find this book useful for planning and conducting research and helping others learn how to do nursing research. Your comments and suggestions for future editions are always welcome. Please email me via the publisher at info@jblearning.com.

Ruth M. Tappen, EdD, RN, FAAN
June 2021

INTRODUCTION

Does the idea of discovery appeal to you? Do you enjoy exploring new ideas? Solving problems? Finding new and better ways to care for people? This is what nursing research is all about.

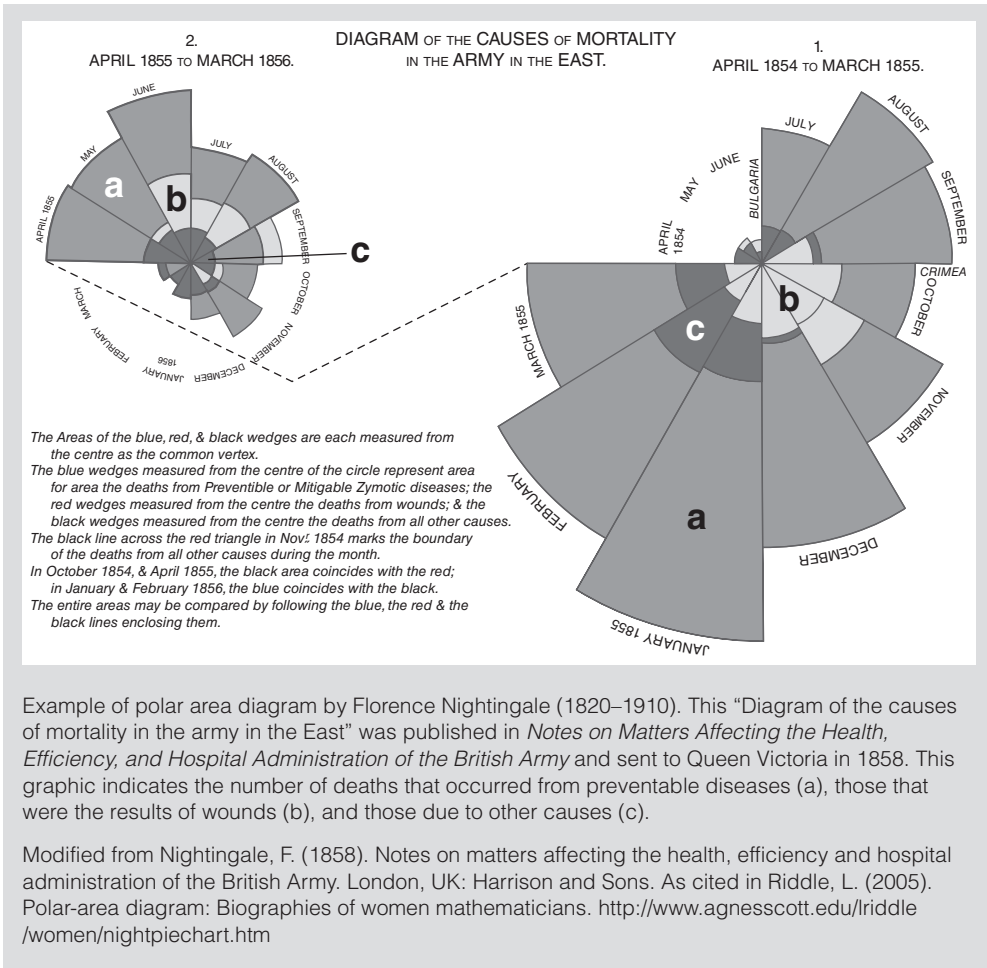
A few more thoughts follow to introduce you to nursing research.

OUR RESEARCH TRADITION

Florence Nightingale, the founder of modern nursing, systematically collected data to demonstrate that the majority of British soldiers fighting in the Crimean War (in southern Ukraine) died of preventable problems such as cholera, dysentery, and scurvy, not of war wounds. She even invented diagrams similar to pie charts to better illustrate the data (Gill & Gill, 2005). Back in England, she also collated data comparing the death rate of nursing staff to that of all women living in London. She pointed out that “fever and cholera” were responsible for 50% of the nurses’ deaths, as opposed to 16% of the general population, and that the nurses’ mortality rate overall was 40% higher than that of other women. “These figures,” she wrote, “prove the very great importance of hospital hygiene” (Nightingale, 1863, p. 21). Her analyses informed the healthcare reforms she promoted. In recognition of her work, she became the first woman elected to the Royal Statistical Society, a rare event in those times (Gilbert, 2020). We are still concerned with issues of “hygiene” (i.e., infection control) in acute and long-term-care facilities.

Although never abandoned, it took a long time for research to become a prominent activity in nursing. In the 1950s, the Division of Nursing Resources began providing federal grants for nursing research studies. Early centers of nursing research activity were located at Teachers College, Columbia University, in New York; Wayne State University in Detroit; and the Walter Reed Army Institute of Research in Silver Spring, Maryland. The *Nursing Research* journal began publication in 1952 (D’Antonio, 1997).

Many early studies focused on nurses and nursing education. Although always of interest, research on clinical questions received a needed boost when federal funding was transferred to the newly established National Center for Nursing Research within the National Institutes of Health (NIH) in 1985. The center became one of the Institutes of NIH in 1993 and continues to support nursing research and the training of nurse researchers today.



Example of polar area diagram by Florence Nightingale (1820–1910). This “Diagram of the causes of mortality in the army in the East” was published in *Notes on Matters Affecting the Health, Efficiency, and Hospital Administration of the British Army* and sent to Queen Victoria in 1858. This graphic indicates the number of deaths that occurred from preventable diseases (a), those that were the results of wounds (b), and those due to other causes (c).

Modified from Nightingale, F. (1858). *Notes on matters affecting the health, efficiency and hospital administration of the British Army*. London, UK: Harrison and Sons. As cited in Riddle, L. (2005). Polar-area diagram: Biographies of women mathematicians. <http://www.agnesscott.edu/lriddle/women/nightpiechart.htm>

DEFINING RESEARCH

Research is the systematic collection and analysis of information (data). It is planned, organized, and carefully thought out before being done. The information or data that you collect and analyze may be numeric (quantitative) or verbal (qualitative).

Research studies can be small, simple projects involving just a few participants. But they can also be complex, involving hundreds of thousands of people and lasting more than 5, 10, 20, or even 50 years.

WHAT DOES RESEARCH INVOLVE?

Research is a multidimensional activity that requires conscientiousness, logic, creativity, and active interaction with others.

Logical. Research methods were developed to ensure that the results are based on reason and logic, not our impressions or assumptions. This is done to help us avoid coming to erroneous conclusions. Also for this reason, research reports are often structured as an argument with a conclusion, building fact upon fact to demonstrate how you reached your conclusions. To be persuasive, the argument is expected to be reasonable, rational, and logical. This does not mean, however, that it is cold and unemotional. Most nurse researchers are passionate about their work and deeply committed to it.

Creative. Research is also a voyage of discovery. The discoveries are often the result of a hunch or the researcher's intuitive feel for a possible solution to a problem. To advance knowledge, researchers need to be able to see new patterns, to put existing knowledge together in new ways, and to make leaps of understanding that lead to exciting new ideas. These are the "Aha!" moments that the media loves to write about. Flexibility, intuition, and innovation are as important to research as logic and reason.

Interactive. Much complex research is done by teams of people with a wide assortment of skills and experience. Even when a study is done by a single person, he or she will benefit from the feedback of fellow researchers, as well as the participants in the study and the nurses who might put the results into practice. These multiple perspectives are valuable in both designing and interpreting research.

Conscientious. There are rules to follow when conducting research. Some of these rules are related to the ethics of research, including protection of your participants, whether human or animal. There are also guidelines for collecting and analyzing data systematically. Mistakes, carelessness, and sloppy work are not acceptable when doing research. (They're not acceptable in practice, either.)

IMPORTANCE OF RESEARCH

Research brings us new knowledge that informs our practice. The ultimate goal is to improve the outcomes of care and people's lives. What is the best way to take a neonate's temperature? To prevent adolescent suicides? To reduce nausea and vomiting? To control pain? How do you reduce preoperative anxiety? Reduce obesity in diabetes? Prevent isolation and loneliness in older adults? How are we going to get hospital-acquired, drug-resistant infections under control? Prevent global pandemics? There is no end to the number of questions that we can ask and answer through nursing research.

There are some questions, however, for which research cannot provide an answer. These are questions of right and wrong. Is it right to withhold fluids? Is it wrong to perform an abortion? Should we tell a patient how long we project he or she has to live? Research studies can tell us how many people agree or disagree, why they disagree, and what the consequences of a decision might be, but research does not directly address questions of right and wrong.

PURPOSE OF THIS BOOK

This book is designed to prepare you to be a beginning researcher, to be able to conduct simple studies on your own, to be an informed member of a research team conducting

more complex studies, and to be an informed consumer of research. Keep in mind, also, that research is an interactive process. Experienced researchers are happy to talk with you about their work and to help you along the way.

Nursing research is challenging, exciting, engaging, and rewarding. Welcome to the wonderful world of nursing research!

REFERENCES

- D'Antonio, P. (1997). Toward a history of research in nursing. *Nursing Research*, *46*(2), 105–110.
- Gilbert, H. A. (2020). Florence Nightingale's environmental theory and its influence on contemporary infection control. *Collegian*, *27*(6), 626–633.
- Gill, C. J. (2005). Nightingale in Scutari: Her legacy reexamined. *Clinical Infectious Diseases*, *40*, 1799–1805.
- Nightingale, F. (1863). *Notes on hospitals*. Longman, Green, Longman, Roberts and Green.

